

# **Southwest Iowa MHDS Region**

Mental Health and Disability Services

Management Plan

**Annual Service and Budget Plan**

**Fiscal Year 19/20**

**July 1, 2019 - June 30, 2020**



# ANNUAL SERVICE AND BUDGET PLAN FOR FY19/20

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## **ANNUAL SERVICE AND BUDGET PLAN FOR FY19/20**

Geographical Area: Serving the Counties of Cass, Fremont, Harrison, Mills, Monona, Montgomery, Page, Pottawattamie, and Shelby counties. The Southwest Iowa MHDS Region (SWIA MHDS) formed under Iowa Code Chapter 28E to create a mental health and disability service region in compliance with Iowa Code 331.390.

In compliance with IAC (Iowa Administrative Code) 441-25, the Southwest Iowa MHDS Plan includes three parts: *Annual Service and Budget Plan*, *Annual Report* and *Policies and Procedures manual*. The Annual Service and Budget Plan includes the services to be provided and the cost of those services, local access points, Targeted Case Management agencies, a plan for ensuring effective crisis prevention and a description of the scope of services, projection of need and cost to meet the need, and provider reimbursement provisions.

The Annual Service and Budget Plan, approved by the SWIA MHDS Governing Board, is subject to approval by the Director of Human Services. The Southwest Iowa MHDS Region management plan is available in each local SWIA MHDS office, on the region's website at [www.swiamhds.com](http://www.swiamhds.com) and on the Iowa Department of Human Services website at <http://dhs.iowa.gov/mhds>.

The SWIA MHDS works to improve health, hope, and successful outcomes for people with mental illness and intellectual disabilities. This plan covers the period from July 1, 2019 through June 30, 2020.

## Access Points

An access point is a part of the Southwest Iowa MHDS regional service system trained to complete MH/DS regional applications for persons with a disability. SWIA MHDS has designated the following access points.

Access Point	Address	Phone number
Burgess Mental Health	1600 Diamond Street Onawa, IA 51040	712-423-9160
CHI Health Missouri Valley Psychiatric Associates	704 North 8th Street Missouri Valley, IA 51555	712-642-2045
CHI Health Mercy Hospital	800 Mercy Drive Council Bluffs, IA 51503	712-382-5000
CHI Health Psychiatric Associates	801 Harmony Street, Suite 302 Council Bluffs, IA 51503	712-328-2609
Cass County Memorial Hospital	1501 E. 10 <sup>th</sup> St. Atlantic, IA 50022	712-243-3250
Heartland Family Service	515 East Broadway Council Bluffs, IA 51503	712-322-1407
Jennie Edmundson Hospital	933 East Pierce Street Council Bluffs, IA 51501	712-396-6000
Southwest Iowa Mental Health Center	1500 E. 10 <sup>th</sup> St. Atlantic, IA 50022	712-243-2606
Myrtue Medical Center-Behavioral Services	1303 Garfield Ave Harlan, IA 51537	712-755-5056
Waubonsie Mental Health Center	216 W. Division Clarinda, IA 51632	712-542-2388

## **Targeted Case Management**

The following legal requirements denote the need to address Targeted Case Management services in this Annual Service and Budget Plan. However, at the time of the writing of these laws, Managed Care Organizations (MCOs) were not a part of the Medicaid system in Iowa.

The SWIA MHDS shall offer access to cost effective, evidenced based, conflict free Targeted Case Management as described in IAC 441-25.21(1)g. Further, designated Case Management agencies serving SWIA MHDS must be accredited according to the rules of the Department of Human Services. Targeted Case Managers must meet the qualifications as defined in IAC 441.

Targeted Case Management and Service Coordination services shall meet the following expectations:

- Performance and outcome measures relating to the safety, work performance, and community residency of the individuals receiving the service.
- Standards including but not limited to social history, assessment, service planning, incident reporting, crisis planning, coordination, and monitoring for individuals receiving the services.
- Methodologies for complying with the requirements of sub rule 441-25.21 g, which may include the use of electronic recording keeping and remote or internet based training.

Prior to the implementation of MCOs in Iowa, the Governing Board of the SWIA MHDS designated certain Case Management programs to work within the region. The MCOs now provide the Community Based Case Management programs. The region continues to provide Service Coordination to people who are not eligible for a Community Based Case Manager or an Integrated Health Home. The region has 4 FTE designated Service Coordinators providing this service as well as 1.5 FTE Service Coordinators working specifically with the SOAR program, 2 FTE Service Coordinators working in the jails and 1 FTE Mental Health Court Case Manager.

## **Crisis Planning**

There is available a continuum of crisis prevention strategies and services which will continue to be designed, implemented and enhanced throughout the region in order to meet needs of people in crisis. HF2456 passed in 2018. This law required an enhanced crisis service array, several offered already in this region. However, there are additional services to add by July 1, 2021.

In SWIA MHDS, crisis prevention begins with community education through Mental Health First Aid (MHFA) training for the public. Embedded in crisis prevention, response, and resolution are treatment and support plans prepared by contracted network providers, Integrated Health Homes, Community Based Case Managers and Region Service Coordination. When these plans are developed, the goal is to determine an environment and support structure that works for a person to mitigate the triggers that lead to crisis. The region's website [www.swiamhds.com](http://www.swiamhds.com) hosts a "Resource Connection" page for information regarding mental health, substance use and many other community services and resources that people can utilize for quick access to information.

Law enforcement agencies in the region continue to receive education in Crisis Intervention Training (CIT), offered at least twice a year in the Omaha, NE/Council Bluffs, IA metropolitan area. Several officers have received training and promotion will continue with law enforcement agencies throughout the SWIA MHDS Region.

Mobile Crisis Response Team is available throughout the region to assist law enforcement in making decisions for people experiencing a mental health crisis in the community. This experienced team makes a recommendation to officers on the level of care a person needs in order to be safely in the community. To avoid unnecessary civil commitments of people who are not familiar with services or do not know how to access a more appropriate level of crisis service, pre-commitment as well as court ordered assessments are also offered through the mobile crisis team to help families avoid this hardship. This is a valuable service for families who may seek help for their loved one but are unsure of the appropriate level of care. The team can also make recommendations to a Judge when the court does not feel they have enough information to make a decision on a potential civil commitment. The Mobile Crisis Response Team continues to engage critical access hospitals throughout the region to utilize their services in providing telehealth assessments within their emergency departments. This is a welcome addition to the services in these hospitals because they normally do not have access to mental health professionals.

The Collaborative Support Team (CST) is available in the region with a task to create an interagency team to support individuals that have multiple hospitalizations, incarcerations, and multi-occurring issues affecting their care. The goal is to provide coordination of care with providers in order to reduce the frequency of hospitalization and disruption of placement. Agencies, case managers and Service Coordinators are welcome to bring consumers with challenging behavior to this team to receive assistance with ideas for approaches to care.

C3 De-escalation is a training offered by the region and is free to its participants. The intended audience for this training is direct support staff; however, it is open to anyone interested. The region has also engaged a Behavioral Health Coach to work with direct service providers, such as Habilitation and Home and Community Based Service providers, as a support for their staff. The intent is that the C3 De-escalation training and staff coaching will help alleviate crises of individuals served in the community which often lead to hospitalization, arrest and discharge from service.

The SWIA MHDS region has some programs that while not completely crisis focused, do work toward preventing additional crises for people with whom it works. The SWIA Mental Health Court began January 2015. The goal of this problem solving court is to coordinate services and direct low-level offenders to appropriate treatment in order to avoid future legal problems and acute hospitalization due to mental health symptoms and behaviors and to gain the supports they need to be successful in their community. The Southwest Iowa Mental Health Court is available to all counties in the region. The region plans to continue to finance the mental health court through providing the case manager and funding for the mental health agency.

In addition, SWIA MHDS began a Jail Based Service Coordination program in 2016. The Region utilizes two of its Service Coordinators to work within all region county jails. The staff members are located in the Pottawattamie County jail and travel throughout the region to the other jails as needed. Coordination and referral of inmates to needed supports and treatment prior to their release is the focus of the program in order to improve client outcomes and decrease jail recidivism. The SWIA MHDS region also offers telehealth

psychiatry services at all region jails, with the goal of assisting inmates in obtaining and/or maintaining mental health stability while incarcerated and upon release from jail.

A housing initiative, Heartland Bridges, opened March 2017. This program focuses on preventing crises due to housing needs. The program is a short-term (up to three months) model to work on permanent housing solutions for people in a temporary housing crisis due to their mental health or complex needs. While housing is the focus, the setting is recovery oriented and MHDS services can be provided within the 15-bed setting by other community providers.

Development of an enhanced crisis stabilization system continues in accordance with the crisis stabilization administrative rules. The focus for this past year has been to assure communication and coordination amongst the current pieces of the system. The center of our approach to crisis services is a dynamic system that works together. Due to geographic reasons, it makes most sense for our region to have services spread throughout the region, but easily accessible to everyone. Collaboration is a key to the success of this crisis stabilization system, therefore, it takes much care to involve stakeholders, continually receive feedback, measure outcomes, and build a system of care responsive to the needs of Southwest Iowa. New services are in development to enhance the current system and meet the new requirements in 2018 law from HF2456 and adopted in Administrative Rules 441.25.

The SWIA MHDS contracts with Boys Town National Hotline to provide a 24 hour Crisis Hotline. This service is the HOPE 4 IOWA Crisis Call Line (844)673-4469 (84-Hope4Iowa). The crisis call line is for people who are experiencing a mental health crisis or a crisis of any kind that could lead to possible hospitalization or need for emergency treatment if no intervention is provided. The hotline works closely with local providers and crisis services by having staff present within the region. The focus is on immediate crisis counseling assistance, referral information, and “warm hand-offs” to other services including outpatient treatment, crisis stabilization residential services, law enforcement and acute behavioral services when needed. Many referrals come directly to the region for service coordination follow up, preventing further crises for the individual.

Crisis Stabilization Residential Services (CSRS) became available in January 2016. “Turning Pointe” is located in Clarinda, IA. CSRS is available to people in crisis that do not need an acute level of care and focuses on recovery, prevention and peer support. The goal is to restore the individual to their pre-crisis functional level during a short-term alternative living arrangement.

Communication and training about additional services continues to be important in making the region system run smoothly. Input regularly gathered from the Local Advisory Councils and Regional Advisory Committee as new services are developed and as current services change is shared with the Governing Board. As part of the Crisis Stabilization System (CSS) in SWIA MHDS, a bi-monthly conference call is held for all CSS providers and interested parties to discuss issues, problems, successes, and to continually plan and improve the efforts around this collaborative partnership.

The following chart is a listing of current SWIA MHDS crisis services.

SERVICE	CRISIS FUNCTION	PROVIDER	CONTACT INFORMATION
Mental Health First Aid and Youth Mental Health First Aid	Mental Health training for the community	Pottawattamie County Community Services	712-328-5645
Mental Health First Aid and Youth Mental Health First Aid	Mental Health training for the community	CHI Health Missouri Valley and Council Bluffs offices	712-642-2784 712-328-2609
Mental Health First Aid	Mental Health training for the community	Burgess Mental Health Center, Onawa	712-423-9160
24 hour access to crisis response and evaluation	Hospital Emergency Departments and/or behavioral health units	CHI Mercy Hospital 800 Mercy Drive Council Bluffs, IA 51501	712-328-5230
24 hour access to crisis response and evaluation	Hospital Emergency Departments and/or behavioral health units	Jennie Edmundson Hospital 933 E. Pierce St. Council Bluffs, IA 51503	712-396-6044
24 hour access to crisis response and evaluation	Hospital Emergency Departments and/or behavioral health units	Cass County Memorial Hospital 1501 E. 10 <sup>th</sup> St. Atlantic, IA 50022	712-243-3250
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Myrtue Medical Center 1213 Garfield Ave. Harlan, IA 51537	712-755-5161
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Clarinda Regional Health Center 220 Essie Davison Dr. Clarinda, IA 51632	712-542-8330
24 hour access to crisis response and evaluation	Hospital Emergency Departments	CHI Health Community Memorial Hospital 631 N. 8 <sup>th</sup> St Missouri Valley, IA 51555	712-642-2784
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Montgomery County Memorial Hospital 2301 Eastern Ave. Red Oak, IA 51566	712-623-7226
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Shenandoah Medical Center 300 Pershing Ave. Shenandoah, IA 51601	712-246-7400
24 hour access to crisis response and evaluation	Hospital Emergency Departments	George C. Grape Community Hospital 2959 US Hwy 275 Hamburg, IA 51640	712-382-1515
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Burgess Memorial Hospital 1600 Diamond St. Onawa, IA 51040	712-423-2311
24-hour Crisis Hotline	Mental Health Crisis Line	Boys Town National Hotline	844-673-4469 84HOPE4IOWA
24 hour crisis phone response	Telephone crisis information and referral line	CHI Health	402-717-HOPE
24 hour crisis phone response	Telephone crisis information and referral line	Waubonsie Mental Health Center	712-542-2388
24 hour crisis phone response	Telephone crisis information and referral line	Southwest Iowa Mental Health Center	712-243-2606
Crisis Intervention Training - CIT	Training for law enforcement personnel	CHI Health	402-572-2255
Web based information tool – Resource Connection	Community tool to locate available resources	SWIA MHDS Region	www.swiamhds.com
Mobile Crisis Response Team	Assessment support for law enforcement	Heartland Family Service Crisis Response Team	Law officer activated
Pre-commitment Screening	screening of individuals who families recommend for commitment	Heartland Family Service	402-978-7684
Crisis Stabilization Residential Service	Short-term residential support	Turning Pointe, Waubonsie	712-542-2388



## **Intensive Mental Health Services**

The SWIA MHDS Region has not designated any of the new services required by Administrative Rule 441-25.6(331). Once the service designation takes place, the region will include in this plan the provider name, contact information, and location of each service. The following services require implementation by July 1, 2021. Community planning meetings are underway to determine the rollout and development of these new services.

- Access center(s)
- ACT services
- Intensive residential services
- Subacute mental health services

## **Scope of Services & Budget and Financing Provisions**

The annual budget and planning process is a tool to identify and implement core disability service improvements. The Southwest Iowa MHDS Region collaborates with stakeholders to assess need and to advocate adequate funding for services and supports. Significant time given to stakeholders takes place through Local Advisory Council “input meetings” held in various areas throughout the SWIA MHDS Region. Over the past budget year the goal was to capture input and feedback of professionals, individuals and their families as the region addressed service need gaps as identified by stakeholders. Although most of the focus will be on adding the new required core services over the next two years, input from our local stakeholders remains the region’s effort.

The SWIA MHDS provides funding for services not otherwise covered by insurance and Medicaid programs. By combining regional (pooled county tax dollars), state and federal dollars, individuals can be empowered to reach their fullest potential by accessing a combination of available supports. SWIA MHDS is responsible for services authorized in accordance with the Regional Management Plan and within the constraints of budgeted dollars. Services funded by SWIA MHDS are subject to change, including reduction or termination with the development of the annual budget each fiscal year. The Regional Management Plan Policy & Procedure Manual addresses mandated services access standards.

The Southwest Iowa MHDS Region executive team proposed the FY20 budget on February 4, 2019 to the Governing Board and then adopted the complete Annual Service and Budget Plan on April 1, 2019. The SWIA MHDS Region CEO is responsible for managing and monitoring the adopted budget.

Annually, the CEO for SWIA MHDS reviews actual expenditures and services provided, stakeholder input and participation, quality assurance implementation findings, waitlist information, progress toward goals and objectives, and if any, appeal type and resolution to determine if gaps in services or barriers to services exist. Annually, in December, this review submission to the Department of Human Services is via the *SWIA MHDS Annual Report*.

The following chart represents the core services as described in 441-25.1(331) and additional services offered in SWIA MHDS. The projected funding need for Fiscal Year 2020 is indicated. When there is a "\$0 or \$-" projection, this indicates that the region does not expect any expenses in this area since they are covered by other funding sources, however should a need arise in these service domains, funding will be made available for those core services not covered. Access standards in IAC 441-25.3(331) have been considered in the formation of the budget and are based on the projected need in the region. The region meets prescribed access standards based on the number of providers, their locations, historical data and input from stakeholders. SWIA MHDS is the funding body of last resort. An individual expectation is to utilize all other federal, state, and private insurance coverage before the region considers funding for any available service. By utilizing all available funding sources, it is the intention of SWIA MHDS to effectively, responsibly and efficiently utilize its resources in order to cover as many individuals as possible and provide a wide range of MH/DS services.

Funding for the disability category of Developmental Disabilities is provided based on the past provision of services for member counties in the SWIA MHDS that previously funded individuals in this disability category prior to becoming a region. Services were provided based on an assessed need that was similar to a person diagnosed with an Intellectual Disability. Therefore, SWIA MHDS will consider a full scale IQ between 70-78, along with significant adaptive functioning needs when considering eligibility for a person with a developmental disability as defined in the SWIA MHDS *Policies and Procedures Manual*.

# Expenditure Budget

FY 2020 Budget	Southwest Iowa MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total	State or Other Funding Sources to be Used to Meet Service Need
<b>Core Domains</b>								
<b>COA</b>	<b>Treatment</b>							
42305	Mental health outpatient therapy	\$ 80,000	\$ 200	\$ -	\$-		\$ 80,200	
42306	Medication prescribing & management	\$ -	\$ -	\$ -	\$-		\$ -	Medicaid/insurance
43301	Assessment & evaluation	\$ -	\$ -	\$ -	\$-		\$ -	Medicaid/insurance
71319	Mental health inpatient therapy-MHI	\$ 100,000	\$ -	\$ -	\$-		\$ 100,000	
73319	Mental health inpatient therapy	\$ 50,000	\$ -	\$ -	\$-		\$ 50,000	
	<b>Crisis Services</b>							
32322	Personal emergency response system	\$ -	\$ -	\$ -	\$-		\$ -	Medicaid/private
44301	Crisis evaluation	\$ 5,000	\$ -	\$ -	\$-		\$ 5,000	
44302	23 hour crisis observation & holding	\$ -	\$ -	\$ -	\$-		\$ -	Medicaid/insurance
44305	24 hour access to crisis response	\$ 64,000	\$ -	\$ -	\$-		\$ 64,000	
44307	Mobile response	\$ 300,000	\$ -	\$ -	\$-		\$ 300,000	
44312	Crisis Stabilization community-based services	\$ 500	\$ -	\$ -	\$-		\$ 500	
44313	Crisis Stabilization residential services	\$1,000,000	\$ -	\$ -	\$-		\$1,000,000	
44396	Access Centers: start-up / sustainability	\$ 250,000	\$ -	\$ -	\$-		\$ 250,000	
	<b>Support for Community Living</b>							
32320	Home health aide	\$ 10,000	\$ -	\$ -	\$-		\$ 10,000	
32325	Respite	\$ -	\$ 500	\$ 1,000	\$-		\$ 1,500	
32328	Home & vehicle modifications	\$ -	\$ -	\$ -	\$-		\$ -	Medicaid/other
32329	Supported community living	\$ 140,000	\$ 50,000	\$ 8,000	\$-		\$ 198,000	
42329	Intensive residential services	\$ -	\$ -	\$ -	\$-		\$ -	
	<b>Support for Employment</b>							
50362	Prevocational services	\$ 30,000	\$200,000	\$ 1,000			\$ 231,000	
50364	Job development	\$ -	\$ -	\$ -	\$-		\$ -	other lines in budget
50367	Day habilitation	\$ 5,000	\$ 10,000	\$10,000	\$-		\$ 25,000	
50368	Supported employment	\$ 180,000	\$250,000	\$15,000	\$-		\$ 445,000	
50369	Group Supported employment-enclave	\$ 1,000	\$ 4,000	\$ -	\$-		\$ 5,000	
	<b>Recovery Services</b>							
45323	Family support	\$ -	\$ -	\$ -	\$-		\$ -	Medicaid/IHH
45366	Peer support	\$ -	\$ -	\$ -	\$-		\$ -	Medicaid/MH Court
	<b>Service Coordination</b>							
21375	Case management	\$ -	\$ -	\$ -	\$-		\$ -	MCOs
24376	Health homes	\$ -	\$ -	\$ -	\$-		\$ -	MCOs
	<b>Sub-Acute Services</b>							
63309	Subacute services-1-5 beds	\$ -	\$ -	\$ -	\$-		\$ -	ACT/Medicaid
64309	Subacute services-6 and over beds	\$ 20,000	\$ -	\$ -	\$-		\$ 20,000	
	<b>Core Evidenced Based Treatment</b>							
04422	Education & Training Services - provider competency	\$ 170,000	\$ -	\$ -	\$-		\$ 170,000	
32396	Supported housing	\$ -	\$ -	\$ -	\$-		\$ -	other lines in budget
42398	Assertive community treatment (ACT)	\$ 300,000	\$ -	\$ -	\$-		\$ 300,000	
45373	Family psychoeducation	\$ 20,000	\$ -	\$ -	\$-		\$ 20,000	
	<b>Core Domains Total</b>	<b>\$ 2,725,500</b>	<b>\$514,700</b>	<b>\$35,000</b>	<b>\$-</b>		<b>\$3,275,200</b>	
<b>Mandated Services</b>								
46319	Oakdale	\$ 80,000	\$ 2,000	\$ -	\$-		\$ 82,000	
72319	State resource centers	\$ -	\$ -	\$ -	\$-		\$ -	state dollars
74XXX	Commitment related (except 301)	\$ 80,000	\$ -	\$ -	\$-		\$ 80,000	
75XXX	Mental health advocate	\$ 150,335	\$ -	\$ -	\$-		\$ 150,335	
	<b>Mandated Services Total</b>	<b>\$ 310,335</b>	<b>\$ 2,000</b>	<b>\$ -</b>	<b>\$-</b>		<b>\$ 312,335</b>	

	<b>Justice system-involved services</b>						
25xxx	Coordination services	\$ 319,451	\$ -	\$ -	\$-	\$ 319,451	
44346	24 hour crisis line**	\$ 154,000	\$ -	\$ -	\$-	\$ 154,000	
44366	Warm line**	\$ -	\$ -	\$ -	\$-	\$ -	
46305	Mental health services in jails	\$ 15,000	\$ -	\$ -	\$-	\$ 15,000	
46399	Justice system-involved services-other	\$ -	\$ -	\$ -	\$-	\$ -	
46422	Crisis prevention training	\$ -	\$ -	\$ -	\$-	\$ -	
46425	Mental health court related costs	\$ 80,000	\$ -	\$ -	\$-	\$ 80,000	
74301	Civil commitment prescreening evaluation	\$ 30,000	\$ -	\$ -	\$-	\$ 30,000	
	<b>Additional Core Evidenced based treatment</b>						
42366	Peer self-help drop-in centers	\$ 80,000	\$ -	\$ -	\$-	\$ 80,000	
42397	Psychiatric rehabilitation (IPR)	\$ 3,000	\$ -	\$ -	\$-	\$ 3,000	
	<b>Additional Core Domains Total</b>	<b>\$ 681,451</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$-</b>	<b>\$ 681,451</b>	
	<b>Other Informational Services</b>						
03371	Information & referral	\$ -	\$ -	\$ -	\$-	\$ -	
04372	Planning and/or Consultation (client related)	\$ 500	\$ -	\$ -	\$-	\$ 500	
04377	Provider Incentive Payment	\$ -				\$ -	
04399	Consultation Other	\$ -	\$ -	\$ -	\$-	\$ -	
04429	Planning and Management Consultants (non-client)		\$ -	\$ -	\$-	\$ -	
05373	Public education	\$ 10,000	\$ -	\$ -	\$-	\$ 10,000	
	<b>Other Informational Services Total</b>	<b>\$ 10,500</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 10,500</b>	
	<b>Essential Community Living Support Services</b>						
06399	Academic services	\$ -	\$ -	\$ -	\$-	\$ -	
22XXX	Services management	\$ 654,549	\$ -	\$ -	\$-	\$ 654,549	
23376	Crisis care coordination	\$ -	\$ -	\$ -	\$-	\$ -	
23399	Crisis care coordination other	\$ -	\$ -	\$ -	\$-	\$ -	
24399	Health home other	\$ -	\$ -	\$ -	\$-	\$ -	
31XXX	Transportation	\$ 85,200	\$ 15,000	\$ 2,000	\$-	\$ 102,200	
32321	Chore services	\$ -	\$ -	\$ -	\$-	\$ -	
32326	Guardian/conservator	\$ -	\$ 4,000	\$ -	\$-	\$ 4,000	
32327	Representative payee	\$ -	\$ 1,000	\$ -	\$-	\$ 1,000	
32335	CDAC	\$ -	\$ -	\$ -	\$-	\$ -	
32399	Other support	\$ -	\$ -	\$ -	\$-	\$ -	
33330	Mobile meals	\$ -	\$ -	\$ -	\$-	\$ -	
33340	Rent payments (time limited)	\$ 35,000	\$ 6,000	\$ -	\$-	\$ 41,000	
33345	Ongoing rent subsidy	\$ -	\$ -	\$ -	\$-	\$ -	
33399	Other basic needs	\$ -	\$ -	\$ -	\$-	\$ -	
41305	Physiological outpatient treatment	\$ 3,000	\$ -	\$ -	\$-	\$ 3,000	
41306	Prescription meds	\$ 4,000	\$ 200	\$ -	\$-	\$ 4,200	
41307	In-home nursing	\$ -	\$ -	\$ -	\$-	\$ -	
41308	Health supplies	\$ -	\$ -	\$ -	\$-	\$ -	
41399	Other physiological treatment	\$ -	\$ -	\$ -	\$-	\$ -	
42309	Partial hospitalization	\$ -	\$ -	\$ -	\$-	\$ -	
42310	Transitional living program	\$ 1,200,000	\$ -	\$ -	\$-	\$1,200,000	
42363	Day treatment	\$ -	\$ -	\$ -	\$-	\$ -	
42396	Community support programs	\$ -	\$ -	\$ -	\$-	\$ -	
42399	Other psychotherapeutic treatment	\$ 2,000	\$ -	\$ -	\$-	\$ 2,000	
43399	Other non-crisis evaluation	\$ -	\$ -	\$ -	\$-	\$ -	
44304	Emergency care	\$ -	\$ -	\$ -	\$-	\$ -	
44399	Other crisis services	\$ -	\$ -	\$ -	\$-	\$ -	
45399	Other family & peer support	\$ -	\$ -	\$ -	\$-	\$ -	
46306	Psychiatric medications in jail	\$ -	\$ -	\$ -	\$-	\$ -	
50361	Vocational skills training	\$ -	\$ -	\$ -	\$-	\$ -	
50365	Supported education	\$ -	\$ -	\$ -	\$-	\$ -	
50399	Other vocational & day services	\$ -	\$ -	\$ -	\$-	\$ -	
63XXX	RCF 1-5 beds (63314, 63315 & 63316)	\$ -	\$ -	\$ -	\$-	\$ -	
63XXX	ICF 1-5 beds (63317 & 63318)	\$ -	\$ -	\$ -	\$-	\$ -	
63329	SCL 1-5 beds	\$ 50,000	\$ -	\$ -	\$-	\$ 50,000	
63399	Other 1-5 beds	\$ -	\$ -	\$ -	\$-	\$ -	
	<b>Essential Comm Living Support Services Total</b>	<b>\$ 2,033,749</b>	<b>\$ 26,200</b>	<b>\$ 2,000</b>	<b>\$ -</b>	<b>\$2,061,949</b>	

Other Congregate Services							
50360	Work services (work activity/sheltered work)	\$ 5,000	\$ 20,000	\$ 1,000	\$-		\$ 26,000
64XXX	RCF 6 and over beds (64314, 64315 & 64316)	\$ 1,500,000	\$100,000	\$25,000	\$-		\$1,625,000
64XXX	ICF 6 and over beds (64317 & 64318)	\$ 200,000	\$ -	\$ -	\$-		\$ 200,000
64329	SCL 6 and over beds	\$ -	\$ -	\$ -	\$-		\$ -
64399	Other 6 and over beds	\$ -	\$ -	\$ -	\$-		\$ -
<b>Other Congregate Services Total</b>		<b>\$ 1,705,000</b>	<b>\$120,000</b>	<b>\$26,000</b>	<b>\$-</b>		<b>\$1,851,000</b>
Administration							
11XXX	Direct Administration					\$664,102	\$ 664,102
12XXX	Purchased Administration					\$221,000	\$ 221,000
<b>Administration Total</b>						<b>\$885,102</b>	<b>\$ 885,102</b>
<b>Regional Totals</b>		<b>\$ 7,466,535</b>	<b>\$662,900</b>	<b>\$63,000</b>	<b>\$-</b>	<b>\$885,102</b>	<b>\$9,077,537</b>
<b>(45XX-XXX) County Provided Case Management</b>							\$ -
<b>(46XX-XXX) County Provided Services</b>							\$ -
<b>Regional Grand Total</b>							<b>\$9,077,537</b>

## County Levy Information

County	2017 Est. Pop.	Regional Per Capita	FY20 Max Levy	FY20 Actual Levy	Actual Levy Per Capita
Cass	13,145	\$ 45.51	\$ 598,229	\$ 328,625	\$ 25.00
Fremont	6,948	\$ 45.51	\$ 316,203	\$ 173,700	\$ 25.00
Harrison	14,136	\$ 45.51	\$ 643,329	\$ 353,400	\$ 25.00
Mills	15,068	\$ 45.51	\$ 685,745	\$ 276,125	\$ 18.33
Monona	8,740	\$ 45.51	\$ 397,757	\$ 218,500	\$ 25.00
Montgomery	10,137	\$ 45.51	\$ 461,335	\$ 253,426	\$ 25.00
Page	15,224	\$ 45.51	\$ 692,844	\$ 380,600	\$ 25.00
Pottawattamie	93,386	\$ 45.51	\$ 4,249,997	\$ 2,334,650	\$ 25.00
Shelby	11,628	\$ 45.51	\$ 529,190	\$ 290,700	\$ 25.00
<b>Region</b>	<b>188,412</b>	<b>\$ 45.51</b>	<b>\$ 8,574,630</b>	<b>\$ 4,609,726</b>	<b>\$ 24.47</b>

Mills County mistakenly levied more than last year's agreed upon levy per cap, adjusting this year.

## Revenue Budget

FY 2020 Budget	Southwest Iowa MHDS Region		
<b>Revenues</b>			
	<b>Projected Fund Balance as of 6/30/19</b>		<b>\$ 11,886,210</b>
	<b>Local/Regional Funds</b>		<b>\$ 4,395,266</b>
10XX	Property Tax Levied	4,198,704	
12XX	Other County Taxes	3,700	
16XX	Utility Tax Replacement Excise Taxes	192,862	
25XX	Other Governmental Revenues		
4XXX-5XXX	Charges for Services		
5310	Client Fees		
60XX	Interest		
6XXX	Use of Money & Property		
8XXX	Miscellaneous		
92XX	Proceeds /Gen Fixed assests sales		
	<b>State Funds</b>		<b>\$ 295,214</b>
21XX	State Tax Credits	217,388	
22XX	Other State Replacement Credits	77,326	
2250	MHDS Equalization		
24XX	State/Federal pass thru Revenue	200	
2644	MHDS Allowed Growth // State Gen. Funds		
2645	State Payment Program		
29XX	Payment in Lieu of taxes	300	
	<b>Federal Funds</b>		<b>\$ -</b>
2344	Social services block grant		
2345	Medicaid		
	Other		
	<b>Total Revenues</b>		<b>\$ 4,690,480</b>
	<b>Total Funds Available for FY20</b>	<b>\$ 16,576,690</b>	
	<b>FY20 Projected Regional Expenditures</b>	<b>\$ 9,077,537</b>	
	<b>Projected Accrual Fund Balance as of 6/30/20</b>	<b>\$ 7,499,153</b>	

The deficit amount between expenditures and revenues is expended from the region fund balance. The region is projecting based on a 5-year budgeting plan to utilize the fund balance while gradually increasing the property tax per capita levy to a sustainable, fiscally responsible and steady amount.

County Property Taxes are pooled into a single region fund. Counties employing region personnel retain in their Mental Health fund enough money to cover payroll expenses including payroll of the mental health advocates.

## **Financial Forecasting Measures**

Historical service utilization is the starting point for all financial projections. The SWIA MHDS has been operating under one single region budget since beginning operations July 1, 2014. There continue to be changes in the system leading to some unpredictability in forecasting. New people continue to access the Iowa Health and Wellness Plan and a waiting list continues for HCBS ID Waiver. The Iowa Medicaid system became managed by Managed Care Organizations beginning April 2016. This continues to bring additional changes to the system. Lack of, or slow payments to providers has caused the region to pay higher costs than anticipated for some services. The region forecasts any new services it is creating based on cost estimates from other similar programs in the state. The new crisis and intensive services mandated by the legislature remain difficult to forecast since they include services never before offered.

The Governing Board recommended a county tax collection rate based on a per capita amount for FY20 which was constructed on a number of factors including 1) the need for predictable, stable tax levies in each county, 2) continued positive relationships between counties based on an equal dollar investment into the region system of fully pooled funds, 3) the need for utilizing fund balance for the creation and startup of new services, and 4) recognition of the legislature's desire to have lower fund balances. The Governing Board developed a 5-year plan to achieve these things and will continue to assess each year because of the unpredictability in state and federal funding and programs.

Throughout the year, Southwest Iowa MHDS regional staff and stakeholders have identified unmet needs and areas for service development, incorporated into subsequent service plans and budgets. SWIA MHDS gathers information through Local Advisory Councils and the Regional Advisory Committee at least twice a year in order to assess community priorities, begin planning for development of additional service needs and costs, and forming the ongoing advisory groups which will continually provide input on needs and service strategies for our communities. The region will use this information to effectively and responsibly plan for use of available financial resources.

Beyond the historical information used for budget development, the region will continue to advance the use of Evidenced Based Practices and Quality Service Development and Assessment. Regular assessment in staff need considerations, specifically around Service Coordination, takes place as services and management of Medicaid continues to change.

## **Provider Reimbursement Provisions**

The SWIA MHDS will contract with MH/DS providers whose base of operation is in the region. SWIA MHDS may also honor contracts other regions have with their local providers or may choose to contract with providers outside of the region. A contract may not be required with providers that provide one-time or as needed services. A fee for service approach in most provider contracts outlines the service provision and the rate of reimbursement. All payments issued are on a pre-authorized request for service authorization with the exception of court ordered payments, such as civil commitment costs. As described above in Scope of Service and Budget Financing Provisions, SWIA MHDS provides funding by incorporating all available funding and insurance resources in its system of care.

The region may also utilize block grant payments when a service does not fit the traditional methods of payment based on a pre-approved individual service request. An example of this is Mobile Crisis Response services. Grant funding opportunities may also be offered by SWIA MHDS based on an assessed community need in order to engage providers in Evidence Based Practices.

When a non-traditional provider arrangement is more appropriate than a fee for service approach with a contracted provider, Service Coordinators will work with the region's CEO to request such arrangements. These arrangements are based on a fee according to the individual's need with written expectations of goals and outcomes for the individual.

Southwest Iowa MHDS Region service contracts require that providers meet all applicable licensure, accreditation or certification standards; however, the SWIA MHDS makes serious efforts to stimulate access to more natural supports through use of nontraditional providers in its service provider network. Successful attainment of positive outcomes, consumer and family satisfaction, and cost effectiveness measures are the most important factors in continued network participation. SWIA MHDS has identified its Network Providers in brochures available throughout the regional offices. Reimbursement to providers is described in the *SWIA MHDS Policy and Procedure Manual* "J. Service Provider Payment Provisions".

*This Plan approved by the Southwest Iowa MHDS Region Governing Board on April 1, 2019.*